IAP2 Rec'd PCT/PTO 29 SEP 2006

Courtesy copy of the International Preliminary Report on Patentability with annexes containing page 2 to be substituted for original specification page 2 and claims 1-6 to be substituted for original claims 1-9 for examination in this case.

### PATENT COOPERATION TREATY

## **PCT**

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

| Applicant's or agent's file reference 26501  | FOR FURTHER ACT   | ION                                     | See Form PCT/IPEA/416                        |  |  |  |  |
|--|---|---|--|--|--|--|--|
| International application No. PCT/EP2005/003249  | International filing date (da<br>23.03.2005   | ny/month/year)                          | Priority date (day/month/year)<br>09.04.2004 |  |  |  |  |
| International Patent Classification (IPC) or na  | ational classification and IPC  |   |  |  |  |  |  |
| INV. F02M37/22 B01D35/143  |   |   |  |  |  |  |  |
|  |   |   |  |  |  |  |  |
| Applicant  | :   |   |  |  |  |  |  |
| UFI FILTERS S.P.A. et al.  |   |   |  |  |  |  |  |
|  |   |   |  |  |  |  |  |
| 1. This report is the international preliminary examination report, established by this International Preliminary Examining<br>Authority under Article 35 and transmitted to the applicant according to Article 36.  |   |   |  |  |  |  |  |
| 2. This REPORT consists of a total of 5 sheets, including this cover sheet.  |   |   |  |  |  |  |  |
| 3. This report is also accompanied by ANNEXES, comprising:   |   |   |  |  |  |  |  |
| a. 🛭 sent to the applicant and to  |   |   | as follows:                                  |  |  |  |  |
| sheets of the description, claims and/or drawings which have been amended and are the basis of this report<br>and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the<br>Administrative Instructions). |   |   |  |  |  |  |  |
| sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.                    |   |   |  |  |  |  |  |
| h ☐ (sent to the International B   | Bureau only) a total of (indi   | cate type and number                    | of electronic carrier(s)) , containing a     |  |  |  |  |
| sequence listing and/or tables related thereto, in celectronic form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).  |   |   |  |  |  |  |  |
| Helating to dequence Elotin  | 119 (000 00011011 002 01 1110   | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |  |  |  |  |  |
|  |   |   |  |  |  |  |  |
| 4. This report contains indications re   | 4. This report contains indications relating to the following items:  |   |  |  |  |  |  |
| ⊠ Box No. I Basis of the report  |   |   |  |  |  |  |  |
| ☐ Box No. II Priority  |   |   |  |  |  |  |  |
| Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability   |   |   |  |  |  |  |  |
| ☐ Box No. IV Lack of unity of  | invention   |   |  |  |  |  |  |
| ☐ Box No. V Reasoned state applicability; cita   | Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement |   |  |  |  |  |  |
| ☐ Box No. VI Certain docume  | ☐ Box No. VI Certain documents cited  |   |  |  |  |  |  |
| ☐ Box No. VII Certain defects in the international application   |   |   |  |  |  |  |  |
| ☑ Box No. VIII Certain observations on the international application   |   |   |  |  |  |  |  |
| Date of submission of the demand   |   | Date of completion of this              | report                                       |  |  |  |  |
| Date of Submission of the demand   |   | , , , , , , , , , , , , , , , , , , ,   |  |  |  |  |  |
| 06.02.2006   | 1   | 7.05.2006                               |  |  |  |  |  |
| Name and mailing address of the international  |   | Authorized officer                      | thes Patenton                                |  |  |  |  |
| preliminary examining authority:  ———— European Patent Office - P.B. 5818 Patentlaan 2   |   |   | Seeth M. F.                                  |  |  |  |  |
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# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/EP2005/003249

| _  |   |   |   |                     |  |  |
|--|---|---|---|---------------------|--|--|
| _  | Box No. I Basis of the repo   | ort   |   |                     |  |  |
| <ol> <li>With regard to the language, this report is based on the international application in the language<br/>filed, unless otherwise indicated under this item.</li> </ol>  |   |   |   |                     |  |  |
|  | This report is based on translations from the original language into the following language,<br>which is the language of a translation furnished for the purposes of: |   |   |                     |  |  |
|  | ☐ international search (ur  | nder Rules 12.3 and 23.1(b                                  | ))  |                     |  |  |
|  | ☐ publication of the intern ☐ international preliminar  | national application (under F<br>y examination (under Rules | Hule 12.4)<br>555.2 and <i>l</i> or 55.3)   |                     |  |  |
| <ol> <li>With regard to the elements* of the international application, this report is based on (replacement<br/>have been furnished to the receiving Office in response to an invitation under Article 14 are referred<br/>report as "originally filed" and are not annexed to this report):</li> </ol> |   |   |   |                     |  |  |
|  |   |   |   |                     |  |  |
|  | Description, Pages  |   |   |                     |  |  |
|  | 1, 3-6  | as originally filed   |   |                     |  |  |
|  | 2 .   | received on 08.02.2006 with                                 | received on 08.02.2006 with letter of 06.02.2006  |                     |  |  |
|  | Claims, Numbers   |   |   |                     |  |  |
|  | 1-6:  | received on 08.02.2006 with                                 | letter of 06 02 2006  |                     |  |  |
|  | . 5   |   |   |                     |  |  |
|  | Drawings, Sheets  |   |   |                     |  |  |
|  | 1/2, 2/2  | as originally filed   | ·   |                     |  |  |
|  | ☐ a sequence listing and/or ar  | ny related table(s) - see Su                                | pplemental Box Relating to Sequence Listin  | ıg                  |  |  |
| 3.   | ☐ The amendments have resu  | The amendments have resulted in the cancellation of:        |   |                     |  |  |
|  | the description, pages  | •   |   |                     |  |  |
|  | <ul><li>☐ the claims, Nos.</li><li>☐ the drawings, sheets/figs</li></ul>  | •   |   |                     |  |  |
|  | the sequence listing (spe   |   |   |                     |  |  |
|  | ☐ any table(s) related to se  | equence listing (specify):                                  | •   |                     |  |  |
|  | ☐ This report has been establi had not been made, since they h Supplemental Box (Rule 70.2(c))  | nave been considered to go                                  | nendments annexed to this report and listed<br>beyond the disclosure as filed, as indicated | l below<br>d in the |  |  |
|  | the description, pages  | <i>y</i> •  |   |                     |  |  |
|  | ☐ the claims, Nos.  |   |   |                     |  |  |
|  | ☐ the drawings, sheets/figs☐ the sequence listing (spe  |   |   |                     |  |  |
|  | any table(s) related to se  |   |   |                     |  |  |
|  |   |   | sheets may be marked "supersoded  | "                   |  |  |

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Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)

Yes: Claims

1-6

No:

Claims

Inventive step (IS)

Yes: Claims

1-6

No:

Claims

Industrial applicability (IA)

Yes: Claims

1-6

No: Claims

2. Citations and explanations (Rule 70.7):

see separate sheet

Box No. VIII Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

see separate sheet

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#### Re Item V.

- 1 Newly filed claim 1 = originally filed claims 1 + 2 Newly filed claims 2-6 = originally filed claims 3,4,7-9
- 2. Document D1, which is considered to represent the most relevant state of the art, discloses (the references in parenthesis applying to this document):

  A device for indicating clogging of a filter, the filter comprising an outer casing (4) closed by a cover (11) of amagnetic material, and a filter element (1) which, together with the disc (9) to which it is connected, defines two chambers for fuel entry (5) and exit (7) respectively, and comprising a pressure sensor means (15) sensing the difference between the entry and exit fuel pressure and housed inside the filter casing, and a means for generating a signal (20) proportional to said pressure difference which is not mechanically connected to the pressure sensor means and is located outside the filter casing.

(see page 1, line 70-page 2, line 38; figure 1)

From this the subject-matter of independent claim 1 differs in that: the pressure sensor means of the indicator device according to the invention comprises an elastically deformable element which defines a variable volume inside the fuel entry or exit chamber; a conduit which connects said volume to the other chamber; and a magnet which, associated with the flexible element, moves to follow its elongation or contraction.

The subject matter of claim 1 is therefore novel (Art 33(2) PCT)

The problem to be solved by the present invention may be regarded as how to make the clogging indicating means more simple.

The solution to this problem proposed in claim 1 of the present application is considered as involving an inventive step (Article 33(3) PCT), because the construction and arrangement of the pressure sensor means in the fuel entry chamber of the fuel filter is not known from nor rendered obvious by the available prior art.

### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (SEPARATE SHEET)

International application No.

PCT/EP2005/003249

3. Claims 2-6 are dependent on claim 1 and as such also meet the requirements of the PCT with respect to novelty and inventive step.

#### Re Item VIII.

1. The application does not meet the requirements of Article 6 PCT, because claim 1 is not clear. The reasons for this being as follows:

Although claim 1 relates to a device indicating clogging, it seeks to define the invention by reference to features of another device (i.e. a fuel filter) for which it has to be used. This results in a lack of clarity (Art. 6 PCT).

2. In order to solve this lack of clarity claim 1 and the dependent claims 2-6 should be directed to a fuel filter with a device for indicating clogging.